

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Gerhard Mueller, et al.  
Application No. : 09/508,045  
Filed : March 3, 2000  
Title : ELECTRODE ARRANGEMENT FOR ELECTRO  
THERMAL TREATMENT OF HUMAN OR ANIMAL  
BODIES  
Grp./Div. : To Be Determined  
Examiner : To Be Determined  
Docket No. : 37187/DBP/E43

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
AND REQUEST FOR REFUND**

Commissioner of Patents & Trademarks  
Washington, D.C. 20231

P.O. Box 7068  
Pasadena, CA 91109-7068  
April 28, 2000

Commissioner:

Applicant hereby submits a Small Entity Declaration verifying small entity status for the above-identified application. The application was filed on March 3, 2000 with the large entity filing fee of \$1,100.00. The excess over a small entity filing fee is \$550.00. Applicant requests a refund of the excess filing fee under 37 CFR § 1.28(a).

Please refund by check with this firm as payee, and indicate our docket number on the check. **A copy of this request is enclosed.**

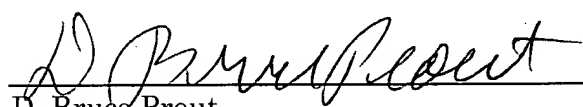
Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

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MAY 16 2000  
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By

  
D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/sfc

Enclosure: Small Entity Declaration  
Copy of this request

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Docket No. : 37187/DBP/E43

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Post Office Box 7068  
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Applicant or Patentee : Gerhard Muller, et al.

Application or Patent No. : To Be Assigned

Filed or Issued : Herewith

Entitled : ELECTRODE ARRANGEMENT FOR ELECTROTHERMAL  
TREATMENT OF HUMAN OR ANIMAL BODIES

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR § 1.9(f) and § 1.27(b))  
INDEPENDENT INVENTOR**

As a below-named inventor, I declare that I qualify as an independent inventor as defined in 37 CFR § 1.9(c) for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled ELECTRODE ARRANGEMENT FOR ELECTROTHERMAL TREATMENT OF HUMAN OR ANIMAL BODIES described in

the specification filed herewith.

Application No. 09/508,045 filed March 3, 2000

Patent No.      issued     

I have not assigned, granted, conveyed or licensed, and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR § 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR § 1.9(d) or a nonprofit organization under 37 CFR § 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed, or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below.

No such person, concern or organization.

Persons, concerns or organizations listed below. (NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. 37 CFR § 1.27)

NAME :

ADDRESS :

INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION ☐

NAME :

ADDRESS :

INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION ☐

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR § 1.28(b))

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the

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**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
**(37 CFR § 1.9(f) and § 1.27(b))**  
**INDEPENDENT INVENTOR**

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**Docket No.: 37187/DBP/E43**

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knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Kai Desinger  
Name of Inventor

K. Desinger  
Signature

29 Febr. 2000  
Date

SFC PAS235481.1-2/29/00 9:55 a55/p55